



Docket No. 1185.1018/JDH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Kayoko MASAKI, et al.

Serial No.: 08/772,259

Filed: December 23, 1996

For: LIGHT CONTROL ELEMENT AND SURFACE LIGHT SOURCE DEVICE  
OF SIDE LIGHT TYPE

**SUBMISSION OF DECLARATION TO COMPLETE  
APPLICATION UNDER 37 C.F.R. § 1.53(d)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Application Processing Division  
Special Processing and Correspondence Branch

Sir:

Pursuant to 37 C.F.R. §1.53(d) and in response to the U.S. Patent and Trademark Office Notices mailed March 23, 1997 and March 24, 1997, enclosed is the Combined Declaration/Power of Attorney executed by the inventor(s) and a verified English translation for completing the missing parts of the subject application. Also enclosed is payment for the necessary \$260.00 surcharge as set forth in 37 C.F.R. §1.16(e).

In accordance with the rules of the Commissioner of Patents and Trademarks, published at 1035 O.G. 3 (October 4, 1983), the attached Declaration identifies the inventor(s) as Kayoko MASAKI and Kazumasa OSUMI, who are the named inventor(s) of the subject application, as filed, and identifies the title of the invention as LIGHT CONTROL ELEMENT AND SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE, which is the title of the subject application specification, as filed. The undersigned registered attorney states that the application entitled LIGHT CONTROL ELEMENT AND SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE, filed on December 23, 1996 and assigned U.S. Application No. 08/772,259 is the application which the inventor executed by signing the attached Declaration.

It is requested that the Combined Declaration/Power of Attorney and English translation be entered in the file for the

08772259-122396

above-referenced application and that the application be advanced to examination.

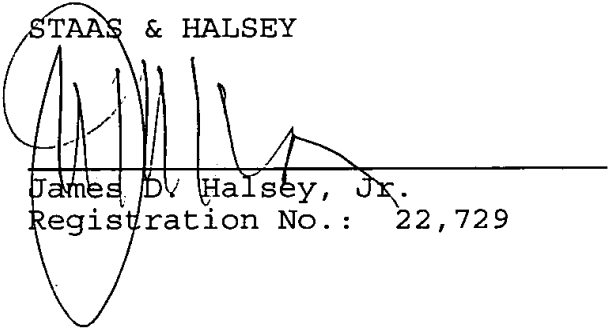
If any further payments are required in connection with the filing of this paper, please charge same to our Deposit Account

No.19-3935.

Respectfully submitted,

STAAS & HALSEY

By:

  
James D. Halsey, Jr.  
Registration No.: 22,729

700 Eleventh Street, N.W.  
Suite 500  
Washington, D.C. 20001  
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Facsimile: (202) 434-1501

Date: April 2, 1997

0872259 122295



Docket No. 1185.1018/JDH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Re Application of:

Kayoko MASAKI, et al.

Serial No.: 08/772,259

Group Art Unit: Unassigned

Filed: December 23, 1996

Examiner: Unassigned

For: LIGHT CONTROL ELEMENT AND SURFACE LIGHT SOURCE DEVICE OF  
SIDE LIGHT TYPE

**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**

*Assistant Commissioner of Patents  
Washington, D.C. 20231*

*Sir:*

In accordance with the provisions of 37 C.F.R. §1.55, Applicant(s) submit(s) herewith  
a certified copy of the following foreign application:

Japanese Patent Application No. 7-353853, filed December 28, 1995.

It is respectfully requested that Applicant(s) be given the benefit of the foreign filing date  
as evidenced by the certified papers attached hereto, in accordance with the requirements of 35  
U.S.C. §119.

Respectfully submitted,

STAAS & HALSEY

By:

  
\_\_\_\_\_  
James D. Halsey, Jr.  
Registration No.: 22,729

700 Eleventh Street, N.W.  
Suite 500  
Washington, D.C. 20001  
(202) 434-1500  
Date: April 2, 1997

DKT NO. 1185.1018/1014  
DATE DUE 5-23-97  
CALL-UP



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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1185.1018/1014 12/23/96 MASAKI K 1185.1018/1014

0262/0323

STAAS & HALSEY  
710 ELEVENTH STREET NW  
SUITE 500  
WASHINGTON DC 20001

NOT ASSIGNED

DATE MAILED: 0000

03/23/97

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the application is informal since it does not comply with the regulations for the reasons checked below. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to correct the informalities noted below.

The required items identified below must be timely submitted to avoid abandonment:

- ☒ 1. A verified English translation of the non-English language application (37 CFR 1.52(d)) and \$ 130 fee under 37 CFR 1.17(k).
- ☐ 2. An Abstract, commencing on a separate sheet (37 CFR 1.53(d) and 37 CFR 1.72(b)).
- ☐ 3. The Claim(s) commencing on a separate sheet (37 CFR 1.53(d) and 37 CFR 1.75(h)).
- ☐ 4. A substitute specification in compliance with 37 CFR 1.52 because:
  - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
  - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
  - ☐ Papers are not typewritten or mechanically printed in permanent dark ink on one side.
  - ☐ Papers contain hand lettering.
  - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
  - ☐ Line spacing on the sheets is not 1-1/2 or double-spaced.
  - ☐ The pages of specification including the abstract and claims are not consecutively-numbered starting with the number "1."
  - ☐ The pages of specification do not contain page numbers centrally located within the top or, preferably, bottom margin.
  - ☐ The copy of the prior application submitted for this 37 CFR 1.60 application contains the defects checked above.
- ☒ 5. Substitute drawings in compliance with 37 CFR 1.84 because:
  - ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
  - ☐ All drawing sheets must be the same size and either A4 (21cm x 29.7 cm) or 8-1/2" x 11".
  - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
- ☐ 6. Page(s) \_\_\_\_\_, are not of sufficient clarity, contrast and quality for electronic reproduction.  
New typewritten or mechanically printed pages of sufficient clarity, contrast and quality for electronic reproduction, together with a statement that the new pages contain the same material as those on deposit are required. This statement must be verified if made by someone other than an attorney or agent registered before the PTO.
- ☐ 7. OTHER:

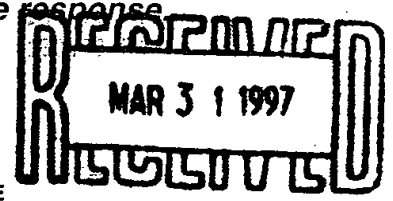
Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response**

*Mary People*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

340 BA 04/23/97 STAAS & HALSEY

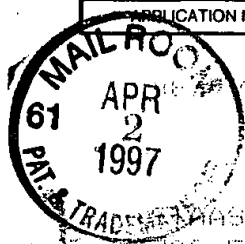


DKT. NO. 1185.1018/JDA  
DATE DUE 5-24-97  
CALL-UP



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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1185.1018  
12/23/96  
MAHAKI  
0267-0324  
NOT ASSIGNED  
00000  
DATE MAILED:  
5/24/97  
STAS & HALSEY  
700 ELEVENTH STREET NW  
SUITE 500  
WASHINGTON DC 20001

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 150 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 150.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:  
☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER: English translation not submitted  
Direct the response and any questions about this notice to "Attention: Box Missing Parts" 123/97 08772259

A copy of this notice **MUST** be returned with the response.

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